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AF 2863

Atty. Dkt. No. 070191-0190 (15-AUG-04)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lounsberry et al.

Title: METHOD AND APPARATUS  
FOR ASSOCIATING A FIELD  
REPLACEABLE UNIT WITH A  
MEDICAL DIAGNOSTIC  
SYSTEM AND RECORDING  
OPERATIONAL DATA

Appl. No.: 09/450,264

Filing Date: 11/29/1999

Examiner: Lau, Tung S.

Art Unit: 2863

**TECHCENTER 2800**

**CERTIFICATE OF EXPRESS MAILING**  
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EV 459165439 US 07/15/04  
(Express Mail Label Number) (Date of Deposit)

Roberta A. Cooper  
(Printed Name)

*Roberta A. Cooper*  
(Signature)

**TRANSMITTAL FOR SUBMISSION OF APPLICANT'S RECORD FOR  
RECONSTRUCTION OF FILE PURSUANT TO 37 C.F.R. §1.251**

Mail Stop RECONSTRUCTION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith in the above-identified application are:

- [ X ] Document List and Copies for Reconstruction of File;
- [ X ] Statement by Applicant in Response to Notice Under 37 C.F.R. 1.251.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 07-0845. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 07-0845. If any extensions of time are needed for timely acceptance of

papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R.  
§1.136 and authorizes payment of any such extensions fees to Deposit Account No. 07-0845.

Please direct all correspondence to the undersigned attorney or agent at the address  
indicated below.

Respectfully submitted,

Date

7/15/04

FOLEY & LARDNER LLP  
777 East Wisconsin Avenue  
Milwaukee, Wisconsin 53202-5306  
Telephone: (414) 297-5897  
Facsimile: (414) 297-4900

By



Jeffrey S. Gundersen  
Attorney for Applicant  
Registration No. 47,619



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO-2053-B (Rev. 10/03)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

In re Application of:

Lounsberry et al.

Application No.:

09/450,264

Filing Date:

11/29/1999

Title:

METHOD AND APPARATUS FOR ASSOCIATING A FIELD  
REPLACEABLE UNIT WITH A MEDICAL DIAGNOSTIC SYSTEM  
AND RECORDING OPERATIONAL DATA

Direct to:

Mail Stop RECONSTRUCTION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

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### NOTICE UNDER 37 CFR 1.251 - Pending Application

Statement (check the appropriate box):

☒ The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and applicant for the above-identified application that is not among applicant's records.

☐ The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's record of such paper(s).

☐ The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of any correspondence between the Office and the applicant for the above-identified application that is not among applicant's records.

☐ Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identified application.

7/15/04  
Date

Jeffrey S. Gundersen  
Signature

Jeffrey S. Gundersen  
Typed or printed name

**A copy of this notice should be returned with the reply.**

Burden Hour Statement: This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copies of correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.



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**LIST OF ALL CORRESPONDENCE BETWEEN OFFICE AND APPLICANT**

**Title:** Method and Apparatus for Associating a Field Replaceable Unit with a Medical Diagnostic System and Recording Operational Data

**Application Serial No.:** 09/450,264

**Atty. Dkt. No.:** 070191-0190

1. Utility Patent Application Transmittal (2 pgs.), Application (27 pgs.), Drawings (Figs. 1-7, 7 Sheets), Declaration (4 pgs.) and Recordation Cover Sheet and Assignment (5 pgs.) (filed 11/29/99).
2. Postcard stamped by Patent Office on 11/29/99.
3. Filing Receipt (received 1/3/00).
4. Request for Corrected Filing Receipt (3 pgs.) (mailed 1/6/00).
5. Postcard stamped by Patent Office on 01/10/00.
6. Corrected Filing Receipt (received 2/14/00).
7. Notice of Recordation of Assignment Document (received 3/13/00).
8. Non-Final Office Action (mailed 8/12/02).
9. Amendment Transmittal (2 pgs.), Reply and Amendment to Non-Final Action (8 pgs.), Terminal Disclaimer (2 pgs.), Supplemental Information Disclosure Statement (2 pgs.) and Form PTO-1449 (1 pg.) (mailed 10/25/02).
10. Postcard stamped by the Patent Office on 10/30/02.
11. Final Office Action (mailed 12/16/02).
12. Notice of Appeal From the Examiner to the Board of Patent Appeals and Interferences (2 pgs.) (mailed 3/17/03).
13. Postcard stamped by Patent Office on 3/24/03.

14. Transmittal of Appeal Brief (2 pgs.) and Brief on Appeal (17 pgs.) (mailed 5/16/03).
15. Postcard stamped by Patent Office on 5/19/03.
16. Petition Under 37 C.F.R. § 1.182 To Reconstruct Lost File (2 pgs.) (filed 1/26/04).
17. Postcard stamped by Patent Office on 1/26/04.
18. Notice under 37 C.F.R. §1.251 – Pending Application (mailed 7/09/04).



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Title: METHOD AND APPARATUS FOR ASSOCIATING A FIELD  
REPLACEABLE UNIT WITH A MEDICAL DIAGNOSTIC SYSTEM  
AND RECORDING OPERATIONAL DATA  
Inventor(s): Lounsberry et al. Dkt. No. 70191-190 (15-XT-5197)  
Appl. No.: 09/450,264 JSQU (10/25/02)

- Supplemental Information Disclosure Statement (2 pgs.);
- Form PTO-1449 (1 pgs.) and References;
- Amendment Transmittal (2 pgs.);
- Amendment (8 pgs.);
- Terminal Disclaimer (2 pgs.);
- Check Number 11205 for \$392.00.

Commissioner for Patents:

Please acknowledge receipt of the above-identified documents by applying the U.S. Patent and Trademark Office receipt stamp hereto and mailing this card.

Respectfully,  
Foley & Lardner



8/11/02



Atty. Dkt. No. 15-XT-5197 (70191-82)

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Lounsberry et al.

Title: METHOD AND APPARATUS FOR  
ASSOCIATING A FIELD  
REPLACEABLE UNIT WITH A  
MEDICAL DIAGNOSTIC SYSTEM  
AND RECORDING OPERATIONAL  
DATA

Appl. No.: Unknown

Filing Date: Unknown

Examiner: Unknown

Art Unit: Unknown

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EL289223713US (Express Mail Label Number)	11/29/99 (Date of Deposit)
Roberta Cooper (Printed Name)	
<i>Roberta Cooper</i> (Signature)	

**TECH CENTER 2800**

**UTILITY PATENT APPLICATION**  
**TRANSMITTAL**

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Brian D. Lounsberry  
Jonathan R. Schmidt  
Stephen W. Gravelle  
Michael S. Idelchik  
James S. Shepard

Enclosed are:

- [ X ] Specification, Claim(s), and Abstract (27 pages).
- [ X ] Informal drawings (7 sheets, Figures 1-7).
- [ X ] Declaration and Power of Attorney (4 pages).
- [ X ] Assignment of the invention to General Electric Company.
- [ X ] Assignment Recordation Cover Sheet.
- [ X ] Check in the amount of \$40.00 for Assignment recordation.

- ☐ Small Entity statement.
- ☐ Information Disclosure Statement.
- ☐ Form PTO-1449 with copies of \_\_\_ listed reference(s).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$760.00	\$760.00
Total Claims:	22	- 20	= 2	x \$18.00	= \$36.00
Independents:	3	- 3	= 0	x \$78.00	= \$0.00
If any Multiple Dependent Claim(s) present:			+	\$260.00	= \$0.00
				SUBTOTAL:	= \$796.00
<input type="checkbox"/>	Small Entity Fees Apply (subtract ½ of above):				= \$0.00
	TOTAL FILING FEE:				= \$796.00

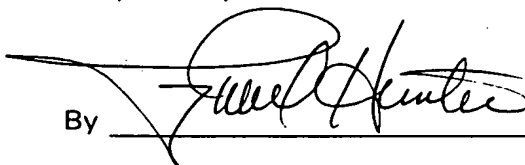
- ☒ A check in the amount of \$796.00 to cover the filing fee is enclosed.
- ☐ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 07-0845. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 07-0845.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 11-29-99

By



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